PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE 10/622,918 July 18, 2003 Ib Erling Joergensen

Date

March 22, 2006

Under the Paperwork Resection Act of 1995 opersons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number TRANSMIT** Filing Date **FORM** First Named Inventor Art Unit 3731 **Examiner Name** Unknown

		ENCL	OSURES (Check	all that apply	<i>(</i>)		
Fee Tran	nsmittal Form	Dr	rawing(s)			After Allowance Communication to TC	
☐ F	ee Attached	Lic	censing-related Papers			Appeal Communication to Board of Appeals and Interferences	
Extension Express Informati Certified Docume Reply to Incomple	After Final Affidavits/declaration(s) In of Time Request Abandonment Request ion Disclosure Statement Copy of Priority nt(s) Missing Parts/ ete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Per Pr Pr Ch Ch Re	etition etition to Convert to a rovisional Application ower of Attorney, Revoca hange of Correspondence erminal Disclaimer equest for Refund D, Number of CD(s) Landscape Table on	e Address	1. F	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Return postcard.	
	SIGNA	TURE OF	APPLICANT, AT	ORNEY, C	R AG	ENT	
Firm Name	LUCE, FORWARD, HAM	ILTON & SC	CRIPPS LLP	·			
Signature	Must	e R	husams	_			
Printed name	Nicola A. Pisano		, 00 00				
Date March 22, 2006				Reg. No.	Reg. No. 34,408		
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hereby certify the sufficient postage he date shown t	e as first class mail in an en	eing facsimi velope addr	ile transmitted to the US essed to: Commissione	PŢO or depos for Patents, F	ited with	n the United States Postal Service with x 1450, Alexandria, VA 22313-1450 on	

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Cynthia A. Willis

Typed or printed name

MAR 2 7 2006 ST

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

 $^\prime$ re Application of:

Group Art Unit:

3731

Serial No.:

10/622,918

Examiner: Unknown

Filed:

July 18, 2003

Joergensen et al.

For:

METHODS AND APPARATUS

FOR DISTAL PROTECTION

DURING A MEDICAL

PROCEDURE

San Diego, California March 22, 2006

Mail Stop: AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

UNDER 37 CFR 1.97 (b)

Dear Sir or Madam:

In accordance with 37 C.F.R. § 1.56, Applicants make the documents, listed on the enclosed forms PTO/SB/08, of record in the above-identified application. In accordance with 37 C.F.R. § 1.97, this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

CERTIFICATE OF MAILING

Date of Deposit: March 22, 2006

Signature:

Althia A. Willis

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, with sufficient postage in an envelope addressed to: Mail Stop: Amendment, P.O. Box 1450, Commissioner for Patents, Alexandria, VA 22313-1450.

Application No. 10/622,918
Filed: July 18, 2003
Attorney Docket No.: JM-020 CON

Copies of the U.S. patents listed on the enclosed form PTO/SB/08 are not enclosed, in accordance with the waiver of the requirement of 37 C.F.R. § 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 that appears in the Official Gazette Notice (Week No. 31) dated August 5, 2003 and entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications Filed After June 30, 2003" and MPEP 609 III. A(2).

It is respectfully requested that these documents be (1) fully considered by the U.S. Patent and Trademark Office in the examination of the above-identified application; and (2) printed on any patent which may issue on this application. It is also respectfully requested that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned to the undersigned with the next communication in accordance with M.P.E.P. § 609.

In accordance with 37 C.F.R. § 1.97(b), this information disclosure statement shall be fully considered by the U.S. Patent & Trademark Office since Applicant has filed in the period specified in 37 C.F.R. § 1.97(b).

Application No. 10/622,918

Filed: July 18, 2003

Attorney Docket No.: JM-020-CON

It is believed that no fee is required in connection with this submission. In the event that an additional fee is required, please charge our Deposit Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP.

Respectfully submitted,

March 22, 2006

Nicola A. Pisano

Attorney for Applicant(s)

Reg. No. 34,408

c/o LUCE, FORWARD, HAMILTON &

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PTO/SB/08A (07-05)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1

(Use as many sheets as necessary)

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Complete if Known				
Application Number	10/622,918			
Filing Date	July 18, 2003			
First Named Inventor	Ib Erling Joergensen	-		
Art Unit	3731			
Examiner Name	Unknown			
Attorney Docket Number	JM-020 CON			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (F known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 4,723,549 A	02/09/1988	Wholey et al.	
		^{US-} 4,873,978 A	10/17/1989	Ginsburg	
		^{US-} 5,108,419 A	04/28/1992	Reger et al.	
		^{US-} 5,192,290 A	03/09/1993	Hilal	
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		^{US-} 5,695,519 A	12/09/1997	Summers et al.	
		^{US-} 5,725,568 A	03/10/1998	Hastings	
-	Ĩ	· ^{US-} 5,769,816 A	06/23/1998	Barbut et al.	
		^{US-} 5,814,064 A	09/29/1998	Daniel et al.	
		^{US-} 5,827,304 A	10/27/1998	Hart	
		^{US-} 5,827,324 A	10/27/1998	Cassell et al.	
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•		^{US-} 6,010,531 A	01/04/2000	Donlon et al.	
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		^{US-} 6,152,947 A	11/28/2000	Ambrisco et al.	
		^{US-} 6,165,200 A	12/26/2000	Tsugita et al.	
		^{US-} 6,179,859 B1	01/30/2001	Bates et al.	
		^{US-} 6,336,934 B1	01/08/2002	Gilson et al.	

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶		
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Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (07-05)

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Su	ostitute for form 1449/PTO		Complete if Known			
			Application Number 10/622,918			
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			First Named Inventor	Ib Erling Joergensen		
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			U. S. PATEN	F DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (F known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,361,545 B1	03/26/2002	Macoviak et al.	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	1	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶	
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Examiner	,	Date	
Signature		Considered	

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